

District of Columbia
Department of Energy and Environment



**NOTICE OF FUNDING AVAILABILITY
AND
REQUEST FOR APPLICATIONS (RFA)**

High-Performance Building Technical Assistance, Training, & Capacity Building
(Short name: High-Performance Building Resources)
RFA # 2022-2113-USA

Publication Date: 4/30/2021

Application Deadline: 6/1/2021 at 11:59 p.m.

Government of the District of Columbia
Department of Energy and Environment
1200 First Street, NE
5th Floor
Washington, DC 20002
(202) 535-2600

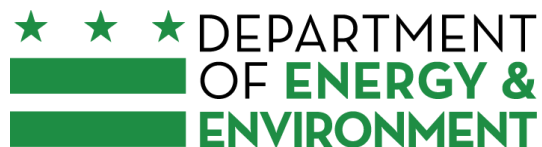


TABLE OF CONTENTS

SECTION 1. GENERAL INFORMATION.....	4
1.1 INTRODUCTION	4
1.2 PURPOSE OF THE GRANT	4
1.3 SOURCE OF FUNDS	4
1.4 COMPETITION FOR A GRANT	5
1.5 PROJECTS AND FUNDS AVAILABLE	5
1.6 ELIGIBILITY	5
1.7 DEFINITIONS	6
1.8 PERMISSIBLE USE OF GRANT FUNDS.....	6
1.9 GRANT MONITORING	6
1.10 GENERAL TERMS AND CONDITIONS	6
1.11 RFA CONDITIONS - PROMISES, CERTIFICATIONS, ASSERTIONS, AND ASSURANCES	7
1.12 DOEE’S AUTHORITY TO MAKE GRANTS	7
1.13 CONFLICTS BETWEEN RFA AND APPLICABLE LAW	7
SECTION 2. SUBMISSION OF APPLICATION.....	7
2.1 RFA RELEASE DATE.....	7
2.2 ADDITIONAL RFA INFORMATION	7
2.3 APPLICATIONS: WHEN AND WHERE	7
2.4 AWARD ANNOUNCEMENT.....	8
2.5 UPDATES AND QUESTIONS AND ANSWERS (Q & A).....	8
SECTION 3. APPLICATION CONTENT.....	8
3.1 FORMAT	8
3.2 APPLICATION CONTENT	9
3.3 WORK PLAN	14
3.4 REQUIRED DOCUMENTS.....	15
SECTION 4. REVIEW PANEL AND APPLICATION SCORING	17
4.1 REVIEW PANEL	17
4.2 SCORING CRITERIA	17
SECTION 5. GRANTEE DOCUMENT REQUIREMENTS	18
5.1 SUBMISSIONS IF APPLICANT WILL RECEIVE THE GRANT	18
SECTION 6. REPORTING, PAYMENT, AND OTHER PROVISIONS	18
6.1 REPORTING REQUIREMENTS	18
6.2 REIMBURSEMENT OF PROJECT EXPENDITURES, ADVANCES, AND DISBURSEMENT OF FUNDS	19
SECTION 7. PROJECTS PROPOSED FOR GRANT FUNDING	20
7.1 SUMMARY: PROJECT NAME, PERIOD, AND AVAILABLE FUNDS.....	20

7.2 PROJECT DESCRIPTION.....	21
SECTION 8. CHECKLIST FOR APPLICANTS.....	25
APPENDICES.....	26
APPENDIX 1 – GENERAL TERMS AND CONDITIONS	26
APPENDIX 2 – PROMISES, CERTIFICATIONS, ASSERTIONS, AND ASSURANCES	26
APPENDIX 3 – COVER SHEET	26
APPENDIX 4 – GRANT BUDGET TEMPLATE	26
APPENDIX 5 – POINTS FOR LOCAL ENTITIES	26
APPENDIX 6 – INSURANCE REQUIREMENTS	26

SECTION 1. GENERAL INFORMATION

1.1 Introduction

The Department of Energy and Environment (DOEE) solicits grant applications from eligible entities (called “Applicant” or “Applicants”). The goal of this RFA is to seek eligible entities to operate a program to provide training, capacity building, and technical assistance to the building industry to achieve the District’s ambitious green building and climate goals. The Urban Sustainability Administration (USA) of DOEE will administer this RFA.

1.2 Purpose of the Grant

The purpose of this grant is to deliver training, capacity building, and technical assistance related to high-performance buildings to owners, developers, builders, entrepreneurs, and designers to support compliance with the District’s current and future green building requirements and ambitious policy objectives. These policies include the Building Energy Performance Standard created by the Clean Energy DC Omnibus Amendment Act of 2018 (Clean Energy DC Act) and net-zero energy construction for new buildings and substantial renovations as outlined in the Clean Energy DC Plan and implemented through the District’s Energy Conservation Code, Green Construction Code and Green Building Act. The Clean Energy DC plan calls for the District’s building energy codes to require all new and substantially renovated buildings to achieve net-zero energy standards by 2022 for residential buildings and 2026 for commercial buildings. At the same time, the Building Energy Performance Standard will require many large existing buildings to complete energy retrofits beginning in 2021. Finally, achieving the local renewable energy requirements established in the District’s Renewable Portfolio Standard and the goals of the Solar for All program will require a significant acceleration of solar adoption, especially community solar, across the building sector.

Successful implementation of these policies will result in cleaner, more comfortable and more affordable buildings and will create new job opportunities in emerging technologies, energy efficiency, building operations, solar energy, and other occupations. This transformation will require training, technical assistance, networking, peer-to-peer sharing, and capacity building.

This grant will provide for the creation of targeted educational resources and programming that reflect the needs of the District’s building industry, peer-to-peer networking and learning opportunities, guidance on financing and incentives for solar and energy efficiency to building project teams, and an online portal through which the building sector can access these resources.

1.3 Source of Funds

The sources of funds for the grant are: Renewable Energy Development Fund, Sustainable Energy Trust Fund and Green Building Fund.

1.4 Competition for a Grant

This RFA is competitive. Each Applicant must demonstrate its ability to carry out the activities for the grant for which it applies (called a “project”). A review panel will evaluate the applications for each advertised grant according to the stated list of criteria in each project’s description. The most responsive application/s will be recommended for a grant.

Specifically, an award will be made based on eligibility (Section 1.6), the extent to which the proposed project fits within the scope and available funding of the grant, strength of the application, and the organization’s capacity to achieve the grant’s goals.

Each Applicant may submit more than one application with different projects. If an Applicant responds with more than one project, it must do so in a separate proposal and submit all corresponding required documents.

1.5 Projects and Funds Available

DOEE seeks applications for:

Project Name	Project Amount
High-Performance Building Resources	\$450,000

1.6 Eligibility

The following are eligible to apply if an “x” appears:

- ☒-Nonprofit organizations, including those with IRS 501(c)(3) or 501(c)(4) determinations;
- ☐-Faith-based organizations;
- ☐-Government agencies;
- ☐-Universities/educational institutions; and
- ☐-Private Enterprises.

Continuing conditions of eligibility are that the information in the application is complete and truthful and that the Applicant at all times is able to meet material conditions stated in its application. Ineligible applicants or applications will not be considered for review.

A material condition can be an eligibility condition, or it can be some other condition that a reasonable DOEE evaluator would conclude is necessary to the Applicant's carrying out the proposed project.

For instance, an Applicant's nonprofit status was a condition of eligibility and the Applicant lost its nonprofit tax status. That would be a material change in condition and would require immediate communication. Another example: Applicant's ability to fulfill the terms of the grant is based on the availability of skilled staff. DOEE awards the grant, and then these staff leave. The Applicant must immediately inform DOEE and follow up in writing.

1.7 Definitions

District - The District of Columbia.

Grantee - The person provided a grant by the District, including a sub-grantee.

Person - A natural person or a legal entity, including a partnership, firm, association, joint venture, public or private corporation, trust, estate, commission, board, public or private institution, cooperative, the District government and its agencies, and the federal government and its agencies.

Writing - A tangible or electronic record of a communication or representation, including handwriting, typewriting, printing, photostat, fax, photography, word processing computer output, and e-mail. A "signed" writing includes an electronic symbol or process attached to, or logically associated with a writing, and executed or adopted by a person with the intent to sign the writing.

1.8 Permissible Use of Grant Funds

A grantee may use grant funds only for allowable grant expenditures. Grant funds related to work performed will be provided on a reimbursement basis, except that, in limited circumstances, an advance of funds may be provided for grant administration expenses in limited circumstances for good cause approved by DOEE at its sole discretion. (*See* Parts 3.2(6)(A), 6.2; Appendix 1 Paragraph 10.g)

1.9 Grant Monitoring

In its sole discretion, DOEE may use several methods to monitor the grant, including site visits, periodic financial reports and the collection of performance data. Each grant is subject to audit.

1.10 General Terms and Conditions

Appendix 1, “General Terms and Conditions” is incorporated by reference in this RFA. Applicants and Grantees must comply with any and all applicable terms and conditions appearing in Appendix 1.

1.11 RFA Conditions - Promises, Certifications, Assertions, and Assurances

Appendix 2, “Applicant’s Promises, Certifications, and Assurances” (“PCA”), is incorporated by reference in this RFA.

1.12 DOEE’s Authority to Make Grants

DOEE has grant-making authority under:

- The Renewable Energy Portfolio Standard Act of 2004 (D.C. Law 15-340, D.C. Official Code §§ 34-1431-40), including § 34-1436(b) and (c)
- The Water Pollution Control Act of 1984, effective March 16, 1985, as amended, (D.C. Law 5-188; D.C. Official Code § 8-103.01 *et seq.*);
- The District Department of the Environment Establishment Act of 2005, effective February 15, 2006, as amended, (D.C. Law 16-51, D.C. Official Code § 8-151.01 *et seq.*);
- The Comprehensive Stormwater Management Enhancement Amendment Act of 2008, effective March 25, 2009, (D.C. Law 17-371; D.C. Official Code § 8-152.01 *et seq.*); and
- Other applicable laws and regulations.

1.13 Conflicts between RFA and Applicable Law

If any requirement of this RFA conflicts with a provision of any applicable law, including a District or federal law or regulation, the applicable legal provision shall control.

SECTION 2. SUBMISSION OF APPLICATION

2.1 RFA Release Date

The release date of this RFA is 4/30/2021.

2.2 Additional RFA Information

This RFA is issued on DOEE’s website at <https://doee.dc.gov/page/grants-and-other-funding>.

The contact person for this RFA is Kate Johnson.

2.3 Applications: When and Where

When: All applications must be received at the email address below by 11:59 p.m. on 6/1/2021

An application will be dated and recorded as “received” pending review by DOEE for completeness. DOEE considers an application to be “filed” only if all the required materials are submitted. A late or incomplete application will be considered received, not filed.

What: The contents of the Application are specified in Section 3. Each applicant must submit one electronic copy (e-mail) to greenbuildingrfa.grants@dc.gov formatted as a .pdf.

DOEE will not receive faxed copies.

2.4 Award Announcement

DOEE expects to notify each Applicant in writing of its award status within six to twelve weeks after the application due date.

2.5 Updates and Questions and Answers (Q & A)

Additional information may become available before the application is due. It is the Applicant’s responsibility to stay up to date on the status and requirements of the grant for which it is applying.

DOEE welcomes questions seeking clarification of matters in this RFA. Questions about the RFA should be sent to greenbuildingrfa.grants@dc.gov with “RE: RFA 2022-2113-USA” in the subject line.

DOEE will publish updates and Questions and Answers (Q&A) regarding the RFA at doee.dc.gov. DOEE will also create an email list to send updates and information regarding the RFA. A person can be put on the email list by immediately emailing greenbuildingrfa.grants@dc.gov with the subject line “RE: RFA 2022-2113-USA – Add me to the email list.”

DOEE will provide the information to those on the email list at the same time the information is uploaded to the DOEE website. DOEE will not mail out updates or Q&A materials.

The cutoff date for receipt of any questions is one week prior to the application deadline.

SECTION 3. APPLICATION CONTENT

3.1 Format

An application must be formatted as follows:

- (a) When printed the application would appear on 8 ½” x 11” paper with one inch margins;
- (b) Limit each project description to 10 double-spaced pages. Budget tables, flowcharts, photographs, the work plan, and other supporting documentation may be attached in addition to the project description; and
- (c) Scan the document and all of the attachments as one file.

3.2 Application Content

DOEE intends to fund a project that will benefit the environment, and, in particular, the environment of the District. The application should use the following format and explain, in increasing levels of detail, how the Applicant will accomplish this.

(Note: Before drafting the application, please read the project description very carefully to see if there are restrictions for the DOEE grant. For instance, certain activities might be required to take place in the District or the scoring might give extra points to labor sourced in the District.)

(a) Cover Sheet

Include a cover sheet in the format specified in Appendix 3. Make certain that the funding amount requested on the cover sheet matches total amount requested in your budget and budget narrative.

(b) Project Summary

Provide a brief one paragraph summary that explains the project. Please place the summary on the cover page (see Appendix 3). DOEE will not count this cover page as part of the 10-page application limit.

(c) Project Description

Organize the Application’s 10-page section of your application in sections that follow the order of the criteria of the scoring box of Section 7. The review panel will seek an explanation of the following as they evaluate your application:

(1) Goals and Objectives

State how: (a) this project will benefit the environmental goal(s) described in Section 7, and (b) the goal(s) this project will advance. Typically, a goal is long-term and diffuse. An objective is specific, and it will be discrete and identifiable. A project with one goal may have more than one

objective. For example: The goal of a grant might be clean District rivers. Objectives of the application might be: implement a citywide recycling campaign; install trash traps.

(2) Target Audience

Identify the target audience. Whom will this project engage? Whom will this project benefit and how?

(3) Project Activities, Outputs, and Outcomes

Connect the funded activities to quantifiable outputs that produce desired outcomes. DOEE prefers projects that present quantifiable measures. This will show how the project will advance the goal(s) and meet the objective(s). This enables reviewers to evaluate what the proposed project will achieve if funded.

Activities describe how the objectives will be accomplished. A description of activities may include quantity, frequency, duration, and location. For example: “20 community members will participate in a single two-hour-long workshop. There will be a total of 10 workshops. The workshops will take place at community centers in the evening after typical workday hours.”

An **output** is a short-term quantifiable result that one or more activities achieve. DOEE will measure the outputs in order to determine if the grantee successfully implements the activities. For example: “At the end of the project period, 10 recycling workshops will be held, 200 community members will attend the workshops, and 25 social media posts will appear featuring information about recycling.”

A **project outcome** can be a short, medium, or long-term result. It can occur and/or continue during the project and after the project ends. It can be general in nature; or it can be quantifiable. A project can present multiple outcomes. Even if not quantifiable, DOEE may look for an outcome to show that the project is making progress toward achieving a goal.

For example: After several recycling workshops, this project will yield: (a) the Anacostia River will show substantially fewer floating recyclables by next spring, and (b) 60 % of workshop participants will increase their knowledge about where and what to recycle.

(4) Project Budget

You must submit a numeric budget and a separate budget narrative as part of your application package. For the numeric budget, DOEE strongly urges Applicants to use the budget template format provided (Appendix 4).

The budget narrative must explain and justify every line item in the numeric budget. The explanation should be thorough enough to allow a reviewer to understand why expenditure levels

were chosen and how the line item amounts were derived. For example: “Personnel will be paid \$xx per hour times xx hours.”

The budget will be the basis for DOEE’s later evaluation of the project and payment requests. DOEE will require documentation for grant payments, and the entire grant will be subject to audit.

i. DOEE’s standard policy on reimbursements vs. advances

In the overwhelming majority of cases, DOEE’s policy is to reimburse supported, approved, and allowable expenses. If the Applicant seeks an advance payment, it must request advance payment in its application and explain why an advance payment is requested. (*See* Parts 1.8, and 6.2; Appendix 1Section 10.g)

ii. Grantee matches and other contributions

Resources provided by the applicant should appear in the column titled “Non-DOEE Match,” meaning the Applicant intends to provide the indicated resources (i.e., the “match,” and that the resources do not come from DOEE). Entries in this column could include both dollars and the value of the in-kind contributions. For example, in-kind contributions can include staff time, volunteer services, already-paid licensing fees, materials, supplies, and the use of equipment or real estate.

Volunteer hours provided to a grantee or sub-grantee must be valued at rates consistent with those the Applicant’s organization ordinarily pays for similar work, including salary and fringes. If the grantee or a sub-grantee does not have employees performing similar work, the rates must be valued the same as rates ordinarily paid by employers in the same labor market for similar work.

iii. Allowable and non-allowed expenditures

The Applicant must show that all costs in the budget are allowable. Typical allowable costs are:

1. Rental of office space, some vehicles, and some equipment;
2. Employee salaries and benefits;
3. Contractor labor, including professional services;
4. Accounting and bookkeeping services;
5. Communications, including telephone and data services;
6. Printing, reproduction, including signage;
7. Materials and supplies;
8. Computers and printers;
9. Small tools;
10. Some field equipment, typically below \$5,000 in value;
11. Postage and shipping;

12. Necessary travel, meals, and lodging; and
13. Insurance.

Non-Allowable costs include:

1. Most major equipment, like vehicles;
2. Lobbying, including salaries and overheads and out-of-pocket expenses;
3. Entertainment;
4. Interest payments on loans;
5. Most food; and
6. Land purchases.

iv. Applicant's indirect costs calculation

An Applicant may include its indirect costs in its budget calculation. (*See* the Nonprofit Fair Compensation Act of 2020, DC Act 23-565 [effective March 2021]) This may be done through use of a cost rate. In budget backup materials the Applicant should identify the basis for the calculation, addressing one of the following bases that District law permits it to choose:

1. Its current, unexpired, federal Negotiated Indirect Cost Rate Agreement (NICRA) rate, a negotiated rate with the federal government;

OR

2. One of the following methods:
 - a. 10% of the grant's direct costs.
 - b. A new negotiated rate with DOEE.
 - c. The same indirect rate that it has used with any District agency in the past 2 years; or
 - d. An independent Certified Public Account's (CPA) calculated rate using federal Office of Management and Budget (OMB) guidelines

(The cited statute required DOEE to provide for at least one of these listed methods. However, the statute excludes the following from the requirement: foundation; hospital; university; college.) If the Applicant proposes to use the services of a *nonprofit* subgrantee or contractor, it must propose to apply the same indirect cost rate to that entity's services. (See Appendix 1. General Terms & Conditions, Paragraph 14.g)

Federal rules always control for federal funding. For federal funding that passes through the District to the grantee, the indirect cost rate must be consistent with federal regulation 2 CFR 200.331 or its successor.

(d) Applicant

(1) Organization

Describe the named Applicant's history, mission, and current or past projects that demonstrate the organization's capacity to achieve the project's goals. The Applicant can reference its website or attach an organizational brochure or resume.

(2) Key personnel

Identify the key team members for the project and provide brief biographies or their resumes. The team members can be staff, volunteers, subgrantees, or contractors.

(3) Past performance on District Grants/Contracts

Identify District agencies from which the Applicant has received funding as a contractor, grantee, or partner in the past five years. This should be included as a separate attachment and is not counted toward the 10-page narrative limit. Provide specific information including:

1. The grant(s) or contract(s) title.
2. The District agency/agencies.
3. The grant number(s), contract number(s), or other identifier(s).
4. The amount(s) paid; and
5. What was accomplished as a result of the funding(s).

Briefly describe each dispute, investigation, and/or audit, if any, related to any of these District grants or contracts, grants, or partnerships in the past five years.

(4) Partners

DOEE awards a grant to one entity. When that entity is a legal corporation or partnership, DOEE would award to that entity. Sometimes a "partnership" is informal, just a working arrangement. The "partners" may have decided that multiple participants can improve the success of a project. Such a partnership might be between a government agency, nongovernmental organization (NGO), company, or an individual. One of these "partners" would be the Applicant.

If one or more partners are to be involved in the project, the Applicant must describe each partner's involvement and resource commitments. The partnering organization should attach a letter of support. If the letter is a hard copy letter, or scanned, the document should be written on the partner's letterhead, and signed by its authorized official. If the letter is an email, the email should clearly identify the writer and position, the partner, its mailing address, website, and an official's contact name, telephone number, and email address.

For the following types of partners, provide the documentation indicated.

i. District of Columbia Public Schools

If the Applicant will work with the District of Columbia Public Schools (DCPS), it must include a letter of support from the principal of each school with which it will work, and, if available, from each participating teacher. Teachers and principals may send a joint letter.

ii. Property Owner

If the Applicant will work on public land, it must submit a letter of support from an official of the managing agency. Similarly, if the Applicant is to work on private land, it must submit a letter of support from each property owner. If the project includes construction or installation, the letter must acknowledge that the property owner will be responsible (either directly or through an agreement with another entity) for project maintenance.

iii. Partnering Organization

Include a letter of intent from the collaborating organization(s) stating that it agrees to participate in the proposed project, describing the partner's involvement and resource commitments, and explaining the activities and/or services the partner will provide. The letter should demonstrate that the partnering organization understands the project presented for funding and the activities and/or services that the partner will provide. Under the grant terms and conditions, grant-related work or activity that is contracted, subcontracted or subgranted must be in compliance with applicable District laws, including business licensing requirements and documentation of a claimed tax-exempt status.

iv. National Park Service - Exception

Projects that would be carried out on National Park Service (NPS) property will require NPS permission. Sometimes the paperwork supporting such permission takes extra time to complete. DOEE will accept more informal statements generated by responsible NPS officials, including an email statement of intent.

3.3 Work Plan

The application must include a proposed work plan that describes the project's activities and the timeline for project implementation.

3.4 Required Documents

Each of the following documents must be filed as part of the application package. If the document is not in this filing, DOEE may classify the grant application as “received” but not “filed,” as specified in Section 2.3. However, if a government agency must issue a required document, and the Applicant has requested the document but not received it, DOEE may accept a copy of the Applicant’s written request to the agency for the purpose of deeming the Application “filed.”

(a) Certificate of Good Standing

Each Applicant must submit a current Certificate of Good Standing from the District Department of Consumer and Regulatory Affairs. DOEE requires that the submitted Certificate of Good Standing reflect a date within a six-month period immediately preceding the application’s submission.

(a) Certificate of Clean Hands

The Grantee shall submit a validated Certificate of Clean Hands (CCH) from the DC Office of Tax and Revenue. The CCH can be obtained through MyTax.DC.gov

(c) Promises, Certifications, Assertions, and Assurances

Each Applicant must sign and submit the “Promises, Certifications, Assertions, and Assurances” (“PCA”) in Appendix 2. Signing the PCA is a condition of eligibility for this grant. If the Applicant is not prepared to sign the PCA, it should not apply for a grant. Compliance with the promises, certifications, and assurances in the PCA is a continuing condition of eligibility for this grant.

The PCA must be signed by the Applicant or, if the Applicant is an organization, by a duly authorized officer of the organization.

The PCA also includes a sworn statement verifying that the Applicant is not in arrears (i.e. is “current”) on all obligations outstanding to the District, including all District agencies. The Applicant must be “current” as of the date of the application and the date of a grant award. DOEE requires, as a condition of continuing eligibility, that a grantee stay current on such obligations during the period of the grant.

(d) IRS W-9 Tax Form

The Applicant must submit a current completed W-9 form prepared for the U.S. Internal Revenue Service (IRS). DOEE defines “current” to mean that the document was completed within the same calendar year as that of the application date.

(e) Tax Exemption Affirmation Letter

If the Applicant claims it is a nonprofit organization, the Applicant must prove its nonprofit status. The tax exemption affirmation letter should be provided. It is the IRS's determination letter of non-profit status. If this letter is not available, then the Applicant should provide its most recent IRS Form 990 tax return, if one was submitted. If no return has yet been filed, the organization can submit its application for tax-exempt status. If the group is a "supporting organization" with an IRS tax-exempt status determination, then that organization's tax exemption affirmation letter should also be submitted.

If there is no IRS tax exemption affirmation letter because the organization is a religious organization, then the Applicant may submit the best evidence it can of its status. Examples of potential best evidence for this purpose include, but are not limited to (i) a letter from the leader of the organization verifying that the organization is a religious group; (ii) a letter from the group's board chair or similar official, verifying that the organization is a religious group; (iii) the Applicant's most recently submitted state sales or other tax exemption form, if it exists (Form 164 in the District of Columbia); or (iv) the state's issued tax exemption certificate or card, if it exists. (*See IRS publication no. 1828, Tax Guide for Churches and Religious Organizations.*)

(f) Applicant's Current Fiscal Year Budget

The Applicant must submit its full budget, including projected income, for the current fiscal year, using a format at least as detailed as that presented in Appendix 4. Also, the Applicant should submit a comparison of budgeted versus actual income and expenses of the fiscal year to date.

(g) Applicant's Financial Statements

If the Applicant has undergone an audit or financial review, it must provide the most recent audited financial statements or reviews. If audited financial statements or reviews are not available, the Applicant must provide its most recent complete year's unaudited financial statements.

(h) Separation of Duties Policy

The Applicant must state how the organization separates financial transactions and duties among people within the organization in order to prevent fraud or waste. This may be a statement that already exists as a formal policy of the organization, or the Applicant may create the statement for purposes of the application. The applicant should state which of these situations apply.

This statement should:

1. Describe how financial transactions are handled and recorded.
2. Provide the names and titles of personnel involved in handling money.

3. Identify how many signatures the financial institution(s) require on the organization's checks and withdrawal slips; and,
4. Address other limits on staff and board members' handling of the organization's money.

(i) System for Award Management (SAM) with Federal Government

The Applicant must be registered in the System for Award Management at www.sam.gov and provide evidence of this registration as part of its application package to DOEE.

(j) Indirect Costs Rate Documentation

If the Applicant seeks a 10% indirect costs rate in its proposed budget, no special documentation is required. However, for another rate, include one of the following documents with the application:

1. Unexpired Federally Negotiated Indirect Cost Rate Agreement (NICRA).
2. DOEE negotiated agreement.
3. A letter from a District government agency, dated within the last two years stating the negotiated indirect cost rate; or
4. A letter from an independent CPA certifying the indirect cost rate was determined by the nonprofit organization's audited financial statements following OMB Uniform Guidance.

SECTION 4. REVIEW PANEL AND APPLICATION SCORING

4.1 Review Panel

This is a competitive grant. The review panel for the RFA will be composed of individuals with knowledge in the areas directly related to the RFA. The review panel will review, score and rank each Applicant's application.

The panel will recommend the most responsive application for award of the grant.

Review panels vary in size, but typically are made up of three to five people. Review panel members can be from DOEE staff or outside of DOEE, as long as they do not have a conflict of interest.

4.2 Scoring Criteria

The reviewers score each application according to a list of criteria and the points available for each criterion. See Section 7.

The Applicant should read the grant description carefully to determine if matching funds or resources are required, or benefit from preference points.

SECTION 5. GRANTEE DOCUMENT REQUIREMENTS

5.1 Submissions if Applicant Will Receive the Grant

Upon acceptance of DOEE's award of the grant, the Grantee must provide the following documents.

(a) Certificate of Insurance

The Grantee shall submit a certificate of insurance giving evidence of the required coverage. *See* Appendix 1, General Terms and Conditions Section 29. Insurance, and Appendix 6. Insurance. Ordinarily DOEE will presume that the budget covers the cost of this required insurance and will not later adjust the grant award for this amount.

(b) Assurance of Continued Truth and Accuracy

Upon receiving DOEE's Grant Award Notice the Grantee must notify DOEE of any changes that may have occurred to its organization since the time of submission of its original application. This obligation continues through the grant period. *See also* Section 1.11. RFA Conditions - Promises, Certifications, Assertions, and Assurances.

SECTION 6. REPORTING, PAYMENT, and OTHER PROVISIONS

6.1 Reporting Requirements

The grantee must submit the following reports as a condition of continuing eligibility for funding.

(a) Quarterly Status Reports

DOEE will provide a quarterly status report template with the Grant Award Notice. These reports, which discuss grant activities for the preceding quarter, will be due on each of the following dates.

Quarter 1 (January - March):	April 15
Quarter 2 (April - June):	July 15
Quarter 3 (July - September):	October 15
Quarter 4 (October - December):	January 15

If a report's due date falls on a weekend or District holiday, the report will be due the next business day.

The report must detail: actions taken in the quarter preceding the report date, highlight outputs achieved, provide a financial update, and describe unforeseen changes to project timetable, staffing, or partnerships, as well as any other changes that may affect project outcomes.

(b) Final Report

DOEE will provide a final report template with the Grant Award Notice. This report includes quantification by the grantee of the project's outputs and describes the extent to which project outcomes met or will meet the objectives of the funded application. The template requires submission of data and analysis of the data.

6.2 Reimbursement of Project Expenditures, Advances, and Disbursement of Funds

DOEE will not reimburse the grantee for any work undertaken before DOEE awards the grant.

DOEE will reimburse the grantee only for expenditures incurred to perform work under the grant. Ordinarily DOEE pays out grant funds as reimbursements. Advances are exceptions, not the rule. In limited cases, DOEE may advance funds at the beginning of the grant period for good cause approved by DOEE at its sole discretion. If the Applicant seeks an advance payment, **it must request such payment in its application** and explain why an advance payment is being requested. (*See* Parts 1.8, 3.2(c)(6)(A); Appendix 1 Section 10.g)

DOEE operates on the District's fiscal year, which starts October 1 of a calendar year and ends September 30 of the next calendar year. Ordinarily, there is no requirement for weekly or monthly invoicing. The grantee should submit each reimbursement request/invoice during the fiscal year for work performed within that same fiscal year. Each request/invoice must include supporting documentation.

Reimbursements will be mailed to the address on file for the grantee. DOEE may make electronic payments in lieu of mailing checks. DOEE generally pays timely, approved, supported grant invoices within 30 days after DOEE receives them.

DOEE may withhold up to the final 10% of a grant until all required activities have been completed, including receipt of the final report. The grantee should treat the prospect of such withholding as likely.

SECTION 7. PROJECTS PROPOSED FOR GRANT FUNDING

7.1 Summary: Project Name, Period, and Available Funds

Project Name

High-Performance Building Resources

Introduction

This grant will support the implementation of the Clean Energy DC plan to cut greenhouse gas emissions by 50% by 2032 and Mayor Bowser's goal to make the District carbon neutral by 2050.

The energy used in buildings accounts for nearly 75% of the District's greenhouse gas emissions. Reducing building energy use through energy efficiency and clean energy technologies like solar photovoltaics will be critical to the District's success in achieving these goals. Accordingly, the Clean Energy DC Act establishes a Building Energy Performance Standard, which will reduce energy use from existing buildings. The Clean Energy DC plan calls for the District's building energy codes to require new building and major retrofits to meet net-zero energy standards by 2022 for residential buildings and 2026 for commercial buildings, beginning with significant performance improvements implemented in the building energy code adopted in 2020.

Successful implementation of these policies will require a rapid transformation of the building industry, including developers, owners, operators, builders, and designers. To support the industry through this transition, DOEE is seeking applicants to propose an approach to delivering collaboration, innovation, capacity building, training, and technical assistance. Applicants should propose how they will provide a variety of services, identified based on local market needs. These would include: training in high-performance building design and construction techniques; support for net-zero energy projects; direct technical assistance; targeted research and resource development; opportunities for knowledge exchange and collaboration; guidance on financing and referrals to relevant programs; and recognition of market leaders and exemplary projects.

The applicant should articulate a strategic approach to implement a collaborative platform that will accelerate market transformation across the District's building industry. The approach should include a strategy for developing partnerships across all aspects of the building and clean technology industries, including affordable housing and non-profit organizations; building capacity for high-performance design and construction; delivering programming and technical assistance; and building a sustainable organization to continue to provide services beyond the grant period.

One grantee will be selected, though partnerships are strongly encouraged. The application should identify knowledgeable participants with existing relationships within the District building sector and a deep knowledge of relevant District policies and programs. The applicant should show how it will accomplish the project within the budget and time frame proposed. In the case of an application from multiple organizations, one lead applicant must be identified as

responsible for submitting the application and managing the project during the award period. Partnering organizations must submit a letter of commitment from each member entity, identifying the lead organization.

Project Period

1. The initial project period is from the date of grant award through September 30, 2022.
2. The grant may be extended at DOEE's sole discretion, for a second and third year based on the availability of funds, the grantee's performance, the grantee's capacity to maintain progress toward or increase the scope of the project goals, and the District's need for the continuation of the project.

Available Funding

The funding available for the initial project period is \$450,000. Should the grant be extended, DOEE anticipates \$450,000 available in year two. Year three funding availability is to be determined.

7.2 Project Description

The grant is intended to support four primary activities in the first year: 1) the creation and delivery of educational programming, resources, and peer-to-peer learning opportunities; 2) ongoing assessment of the specific training, capacity building, entrepreneurship support, and technical assistance needs of the District's building industry; 3) the provision of technical assistance to support compliance with District green building policies and innovation in high-performance building; 4) organization-building activities, including marketing and fundraising, that will allow for the ongoing delivery of services beyond the grant period. The application should address how the internet would be used for the activities. DOEE will review and approve the grantee's milestone schedule as a precondition to moving forward.

Should the project continue into the second and/or third years, the grantee will continue to execute educational programming and develop new resources in response to building industry needs and emerging District policies.

An applicant should propose a strategy for expanding activity into years two and three. This would include how the grantee would engage partners in delivering programming, the potential locations, and the channels through which to promote and recruit participation. The grantee is also expected to raise funds beginning in year one and continuing in years two and three in order to provide services on an ongoing basis.

The project team should consist of partners with expertise in energy efficiency, renewable energy, high-performance building design and construction, and experience delivering training and capacity-building to the District's building industry.

Educational Programming and Resources:

The applicant should address the development and delivery of educational programming. This programming may include training, certifications, business and workforce development, pilot programs, and targeted research and case studies.

Topic areas should include existing policies and innovative technologies and approaches that are on the horizon, such as building electrification and low-carbon construction.

An applicant should explain how the proposed programming will help the District's building industry professionals achieve the District's climate and energy goals. The applicant should articulate short, medium, and long-term goals and metrics for tracking effectiveness.

Needs Assessment and Outreach:

An applicant should propose how it will:

- (a) Assess the needs of the building industry for training, capacity building, entrepreneurship support, and technical assistance in light of the applicable Building Energy Performance Standards, updates to the energy conservation and green construction codes, and goals of the Clean Energy DC Plan.
- (b) Hold events, focus groups, or forums to build awareness of forthcoming policies and seek input.
- (c) Determine gaps in the current availability of training, workforce development, technical assistance, and professional service providers, especially from the perspective of under-resourced buildings and affordable housing providers.
- (d) Convene a steering committee with representation and/or consultation with DOEE, the DC Sustainable Energy Utility, and the affordable housing, solar, construction, design, and commercial real estate sectors

Technical Assistance and Tools:

An applicant should propose an approach for delivering direct technical assistance to District building owners, developers and their design and construction teams, and contractors. The purpose of the assistance will be to support them in complying with District policies, participating in financing programs, and/or pursuing innovative strategies. Such strategies may include on-site solar, electric-vehicle charging, other clean energy technologies, and net-zero-energy or low-carbon construction.

The application should identify the tools to be used to help identify energy retrofit and other high-performance building strategies. If a tool does not exist, the application should address how to create it, and the cost.

The application should also propose strategies for reaching under-resourced building owners. Such owners may include affordable housing entities, limited equity co-ops, and faith-based or other non-profit institutions.

Technical assistance could also include support for local contractors seeking to expand their services in the high-performance building and clean energy sectors

Organization Building

An applicant should explain how it will build awareness of programming provided for through this grant. It should also explain and how it will recruit participation and partnerships across the building sectors.

An applicant should explain how it will build a self-sustaining organization or initiative that can continue to deliver services beyond the DOEE grant period. Include discussion of marketing, the creation of an online presence, consideration of a dedicated physical space through which to provide programming, and fundraising.

Project Outcomes

1. Reduce GHG emissions in the District through lower energy use and increased solar energy generation.
2. The building sector will successfully comply with current and forthcoming policies.
3. Increased number of affordable, energy-efficient, comfortable, and healthy buildings.
4. Increased workforce development opportunities, entrepreneurship, and job creation in the fields of green building, energy efficiency and renewable energy technologies, building operations and maintenance; and
5. Market transformation resulting in net-zero energy design, development, and construction.

Project Deliverables – Year 1

1. A project work plan, including a milestone schedule, approved by DOEE.
2. Biweekly status reports discussing actions taken, progress and next steps.
3. Education resources and programming delivered online and in-person if public health restrictions allow.

4. A summary of outreach activities and findings related to the needs assessment.
5. Marketing and fundraising materials.
6. An online platform/website to host education resources and tools.
7. A summary of all direct technical assistance provided, and associated tools created.
8. Hard copies and electronic files of all materials.

Application Scoring

Each Application will be scored according to the criteria below.

Scoring Criteria	Points
The application demonstrates the applicant's substantial knowledge of, and experience with, the District's and other cities' green building, climate, and energy policies and programs.	15
The application demonstrates the applicant's experience supporting collaboration and capacity building with the design, development, construction, solar, maintenance, and operation industries.	20
The application demonstrates the applicant's experience and success raising funds from government, private, and philanthropic sources.	10
The application demonstrates the applicant's meaningful working relationships that would allow for immediate commencement of grant activities and engagement with the following building sector actors: design professionals, builders, and owners, such that the applicant could quickly deliver programming upon receipt of the grant.	15
The application includes a clear and reasonable budget, and budget narrative, for accomplishing goals.	10
The application includes a comprehensive and feasible plan for completing the project within the project period.	10
The application demonstrates the qualifications of the personnel to carry out a project with this level of complexity.	20

SECTION 8. CHECKLIST FOR APPLICANTS

Instructions for applicants: Ensure that you answer all of the questions below. In the column to the right, indicate Yes, No, or NA for “not applicable”. Submit this completed document as one of the first pages of your application.		
1	Is the cover sheet (Appendix 3) completed and signed – and included as part of the application?	
2	Is the application from one entity, as the Applicant?	
3	Does the application include a Table of Contents?	
4	Is the named Applicant eligible for funding according to section 1.6 of the RFA?	
5	If project eligibility conditions appear in Section 1.6 of the RFA, does the project fit these conditions?	
6	Is the application formatted in accordance with section 3.1 of the RFA?	
7	Does the application include a project description and all of the information required for the scoring rubric that appears at the end of Section 7 of the RFA?	
8	Is there a numeric budget?	
9	Is there a budget narrative justifying each budget line item?	
10	Do the line items in the application budget consider the allowable and non-allowable costs in Section 3.2 subsection c.4 of the RFA?	
11	Did the applicant read the General Terms and Conditions document (Appendix 1)?	
12	Does the application package include the first page and signed last page of the DOEE Promises, Certifications, Assertions, and Assurances (Appendix 2)?	
13	Does the application package include a Certificate of Good Standing that reflects a date within 6 months of the deadline date?	
14	Does the application package include a valid Certificate of Clean Hands?	
15	Does the application package include IRS W-9 Tax Form?	
16	If the Applicant is a nonprofit organization does the application package include a Tax Exemption Affirmation Letter?	
17	Does the application package include the applicant’s current fiscal year budget?	
18	Does the application package include the applicant’s most recent audited or unaudited financial statements?	
19	Does the application package include a Separation of Duties Policy as described in Section 3.4 subsection (h) of the RFA?	
20	Is the Applicant registered in the System for Award Management (SAM)?	

21	If the Applicant is eligible to claim indirect costs and is claiming more than 10% of direct costs, does the application package include supporting documentation for the indirect cost rate?	
22	If applicable, does the application package include letters of support from other entities?	
23	If requested, does the application include resumes of key personnel mentioned in the application?	

APPENDICES

Appendix 1 – General Terms and Conditions

Appendix 2 – Promises, Certifications, Assertions, and Assurances

Appendix 3 – Cover Sheet

Appendix 4 – Grant Budget Template

Appendix 5 – Points for Local Entities

Appendix 6 – Insurance Requirements

Filename: 07 5273 rfa template rev 03-2021.docx
Rev 02-2021